

## **REMARKS**

**After entry of the RCE**, Applicants reply to the Advisory Action dated July 20, 2010, further reply to the final Office Action dated April 27, 2010. Claims 1-3, 6, 10, 22 and 23 were pending in the application and the Examiner rejects claims 1-3, 6, 10, 22 and 23. Support for the claim amendments, new Figure 1 and specification amendments may be found in the originally-filed specification, claims, and figures. No new matter is entered by these claim amendments, new Figure 1 and specification amendments. Applicants respectfully request reconsideration of this application.

Applicants thank Supervisory Examiner Alexander Kalinowski for the Examiner Interview with Applicants' counsel Howard Sobelman on August 4, 2010. **The Supervisory Examiner agreed that the specification contains sufficient structure to support the pending claims, and the specification and claims comply with the requirements of 101 and 112.** In particular, the Supervisory Examiner agreed that at least pages 7-8 include disclosure that sufficiently supports a "particular machine." For example, the specification recites "as data feeds that are input into a product system, such as a brokerage system or a financial instrument administration system, comprising a database or a plurality of databases for storing information relating to the asset or assets" (page 7, lines 17-20); "incorporates information about the client's investment portfolio in a single database" (page 8, line 6); "the PMT extracts data from the databases of the product systems" (page 8, lines 12-13); "a code would be established and linked with the information" (page 8, lines 16-17); "The codification . . . may occur as a prompt by the product system to the client or client representative, who then is required to input the reason for the change in the ownership of the asset when the change in the ownership of the asset is accomplished in the product system." (page 8, lines 18-22). As such, Applicants respectfully request that the Examiner withdraw all 112 and 101 rejections.

The Supervisory Examiner also requested that Applicants add additional structure to system claim 22. As suggested by the Examiner, Applicants amend claim 22 to include memory, processor, instructions, etc.

The Supervisory Examiner also suggested that Applicants add a Figure to show the claim elements and refer to the Figure in the specification. Applicants amend the specification to include Figure 1 and amend the specification to include a description of Figure 1.

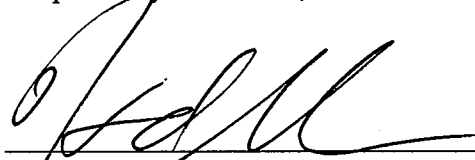
**The Supervisory Examiner also agreed to call Applicants' counsel if any additional claim amendments are needed, after the further search is conducted.**

For at least these reasons, Applicants respectfully submit that none of the cited references, alone or in combination, disclose or contemplate all of the elements of independent claims 1 and 22-23, and Applicants therefore respectfully submit that independent claims 1 and 22 are allowable over the cited references.

Dependent claims 2, 3, 6 and 10 variously depend from independent claim 1, so dependent claims 2, 3, 6 and 10 are allowable over the cited references for the reasons set forth above, in addition to their own unique features, some of which are stated above.

In view of the above remarks, Applicants respectfully submit that all pending claims properly set forth that Applicants regard as their invention and are allowable over the cited references. Accordingly, Applicants respectfully request allowance of the pending claims. The Examiner is invited to telephone the undersigned at the Examiner's convenience, if that would help further prosecution of the subject application. The Commissioner is authorized to charge any fees due to Deposit Account No. 19-2814.

Respectfully submitted,



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